

 <div style="text-align: center;"> <b>Financial Assistance Award</b>   <b>DENALI COMMISSION</b>          510 L Street, Suite 410          Anchorage, Alaska 99501          (907) 271-1414 (phone)          (907) 271-1415 (fax)          www.denali.gov       </div>		<b>Award Number</b>	01527-00		
		<b>Award Title</b>	Storm Surge Flooding Mitigation		
		<b>Performance Period</b>	September 15, 2017 through December 31, 2018		
<b>Authority</b> 112 Stat 1854	<b>CFDA Number</b> 90.100	<b><u>Recipient Organization &amp; Address</u></b> Aleut Community of St. Paul Island - Tribal Government PO BOX 86 Saint Paul Island, AK 99660-0086  <b>Phone:</b> 907-546-3230 <b>Recipient DUNS #</b> 084606206 <b>TIN #</b> 92-0060403			
<b>Denali Commission Finance Officer Certification</b>					
<b><u>Cost Share Distribution Table</u></b>					
Accounting Code	New Funding		Prior Period Funding		Total
	Denali Commission	Other Contributors	Denali Commission	Other Contributors	
95670000	\$200,000.00		\$0.00		\$200,000.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
St. Paul Tribe and City Govt.		\$311,088.00		\$0.00	\$311,088.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
<b>Total</b>	<b>\$200,000.00</b>	<b>\$311,088.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$511,088.00</b>
This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.					
<b>Signature of Authorized Official - Denali Commission</b>  Electronically Signed		<b>Typed Name and Title</b> Mr. Jay Farmwald Director of Programs		<b>Date</b> 10/10/2017	

## AWARD ATTACHMENTS

Aleut Community of St. Paul Island - Tribal  
Government

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01527-00

1. Terms and Conditions
2. Attachment A

**Financial Assistance Award Terms and Conditions**  
**Between the Denali Commission and the Tribal Government of St. Paul Island for**  
**Storm Surge Flooding Mitigation**  
**Award No. 1527**  
**5 September 2017**

**1. Project Summary**

- a. Scope of Work: Construct a breakwater that will prevent storm surge flooding from damaging key community structures. The breakwater will be constructed by elevating and extending existing roads that can double as an emergency escape route for the community.
- b. Deliverables: Completed construction of the breakwater.
- c. Budget: The Commission is making \$ 200,000 available for the project via this Financial Assistance Award (FAA). This amount includes all direct, indirect, and pre-award costs (if any) authorized pursuant to 2 CFR 200.458. Other partners on the project include the Aleut Community of St. Paul Island and the City of St. Paul, who in total are contributing an additional \$ 311,088 in labor and in-kind contributions. All Commission funding is intended to be used for the scope of work identified in this FAA only. Any funds remaining after the full scope of work has been completed shall be returned to the Denali Commission consistent with 2 CFR 200.319.
- d. Delivery Method: Construction will be completed by force account utilizing local labor, equipment, and materials.
- e. Performance Period: The Period of Performance for this FAA is 15 September 2017 through 31 December 2018. In accordance with 2 CFR 200.309 Recipients can only incur obligations or costs against this FAA during the Period of Performance, unless specifically authorized in the Special Provisions (Section 14 of this document). If a project cannot be completed within the approved Period of Performance, an extension request must be made in accordance with the Commission's *Recipient Guidelines and Requirements* (RGR) document dated July 2015, available at [www.denali.gov](http://www.denali.gov).

More detailed information on scope, deliverables, budget, funding, project delivery method and/or management plan, schedule and key milestones are included in Attachment "A" dated 5 September 2017.

**2. Project Reporting and Commission Site Visits**

Progress reports and a close-out report are required under this FAA. Progress Reports shall be submitted at the frequency stipulated in the Special Provisions. The Closeout Report shall be completed within 90-days of the end of the Period of Performance. All reports must be submitted using the Denali Commission's on-line Project Database System, available at [www.denali.gov/dcpdb](http://www.denali.gov/dcpdb).

Commission staff or agents of the Commission may make visits to the project site and/or home office to monitor progress during and/or after the Period of Performance. The Recipient shall coordinate and make information available as necessary to facilitate any such site visits.

Refer to the *Recipient Guidelines and Requirements* document for further information related to reports and site visits.

### **3. Payments**

Payments under this FAA will be made in accordance with 2 CFR 200.305 by electronic transfer in response to a Standard Form 270 (SF-270) "Request for Advance or Reimbursement", submitted by the Recipient. At a minimum, all 270's must include summary cost information on labor, materials, contracts/consultants, and indirect costs. Detailed documentation is required for any single expenditure greater than \$50,000. If Pre-award Costs and/or Advance Payments are authorized under this FAA, the Special Provisions will indicate so. Requests for reimbursements may be made as needed. Refer to the *Recipient Guidelines and Requirements* document for further information about submitting SF-270's.

### **4. Modifications**

In accordance with 2 CFR 200.308, the Recipient shall report deviations in project scope, budget, delivery method, management plan, schedule, or changed site conditions, and request prior approvals from the Program Manager. The Recipient shall also submit written requests to the Program Manager for the replacement of Key Staff identified in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information about modifying a Financial Assistance Award.

### **5. Financial Management, Record Keeping, Internal Controls, and Audits**

The Recipient must have financial management and record keeping systems that are consistent with 2 CFR 200.302. If the Recipient is a State organization it must expend and account for FAA funds in accordance with applicable State laws and procedures for expending and accounting for the State's own funds.

The Recipient must establish and maintain effective internal controls with respect to this FAA that are consistent with 2 CFR 200.303.

In accordance with 2 CFR 200.501, Recipients that expend \$750,000 or more of federal funds in a year shall have a single or program-specific audit conducted for that year.

Refer to the *Recipient Guidelines and Requirements* document for further information about financial management, record keeping, internal controls and audits.

## **6. Direct and Indirect Costs**

All direct costs must be allowable and reasonable. Indirect costs must be allocable based on accepted accounting policies and practices. Indirect costs must be specifically included as a line item or identified with appropriate notes in the approved FAA budget. Refer to 2 CFR 200 Subpart E (Cost Principles) and the *Recipient Guidelines and Requirements* document for further information on direct and indirect costs.

## **7. Sub-Awards and Contracts**

All sub-awards and contracts issued by the Recipient under this FAA must comply with 2 CFR 200.331 and Appendix II to 2 CFR 200. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **8. Acknowledgement of Support**

The Recipient shall name the Denali Commission as a financial contributor and project/program partner in all media correspondence related to the work supported by this FAA. If Commission funds are used for construction, the Recipient shall also display a sign at the construction site that acknowledges the Commission's support. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **9. Real and Personal Property**

In accordance with 2 CFR 200.311, 313, and 316 the Denali Commission may require the Recipient to execute a security interest or other public notice of record to indicate that real or personal property acquired or improved, in whole or in part, with Federal funds is subject to a Federal interest. Any such requirements under this FAA, including post project reporting in accordance with 2 CFR 200.329, will be stipulated in the Special Provisions.

## **10. Conflict of Interest**

In accordance with 2 CFR 200.112, the Recipient must disclose in writing any potential conflicts of interest to the Commission. In addition, 2 CFR 200.318 requires that Recipients maintain written standards of conduct covering conflicts of interest and governing the performance of their employees engaged in the selection, award, and administration of contracts. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **11. Denali Commission Policies**

Recipients may be required to comply with certain published Denali Commission policies which can be found in the *Recipient Guidelines and Requirements* document. Applicable policies are referenced in the Special Provisions of this FAA, and specific requirements/deliverables (if any) are stipulated in Appendix A.

## **12. Laws and Regulations**

Recipients are required to comply with all applicable Federal laws and regulations. General categories of potentially applicable laws and regulations are summarized below. Refer to the *Recipient Guidelines and Requirements* document for further information on specific laws and regulations that may apply.

- a. Debarment and Suspension
- b. Whistle-blower Protection
- c. Non-Discrimination
- d. Lobbying and Propaganda
- e. Environmental
- f. Drug-Free Workplace
- g. Travel
- h. Human Rights
- i. Animal Welfare
- j. Executive Compensation

## **13. Other Provisions**

- a. The United States expressly disclaims any and all responsibility or liability to the Recipient or sub-recipients for the actions of the Recipient or sub-recipients resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this FAA, including sub-awards, contracts, or sub-contracts issued in connection with this FAA.
- b. To the maximum extent practicable, considering applicable laws, the Recipient shall accomplish the project contemplated by this FAA using local Alaska firms and labor.
- c. All terms and conditions contained in this FAA apply to any sub-recipient under this FAA.
- d. Failure to comply with the provisions of this FAA or maintain satisfactory performance may result in additional FAA conditions pursuant to 2 CFR 207. This includes but is not limited to: temporarily withholding of payments pending the correction of the deficiency; disallowance of project costs; wholly or partially suspending or terminating the FAA. In addition, failure to comply with the provisions of this FAA may also have a negative impact on the Recipient's eligibility for future Federal awards.

## **14. Special Provisions**

Progress Reports: Shall be submitted on a quarterly basis. The first reporting period is September 15, 2017 to December 31, 2017, and quarterly thereafter in accordance with the Commission's *Recipient Guidelines and Requirements*.

Pre-award Costs: N/A

Key Staff:

- Patrick Baker, Executive Director, Tribal Government of St. Paul Island

Advance Payments: N/A

Federal Property Interests and Reporting Requirements: N/A

Denali Commission Policies: N/A

Bonds and Insurance: The Denali Commission shall be named as an Additional Insured on the Tribe's Liability Insurance Policy, as well as on the Liability Insurance Policy of professional services consultants and surveyors that may be retained to construct the project.

#### **15. Program Manager, Financial Manager & Other Contact Information**

<b>Denali Commission</b>	<b>Recipient</b>
Don Antrobus Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3500 Fax: 907-271-1415 E-mail: dantrobus@denali.gov	Patrick Baker, Executive Director Tribal Government of St. Paul Island Dept. of Business and Economic Dev. 4720 Business Blvd, Suite G-40 Anchorage, AK 99660 Phone: 907-223-8754 Email: pnbaker@aleut.com
Janet Davis Grants Management Officer 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3036 Fax: 907-271-1415 E-mail: jdavis@denali.gov	Barbara Stair, Accounting Manager Tribal Government of St. Paul Island 4720 Business Blvd, Suite G-40 Anchorage, AK 99660 Phone: 907-257-2632 Email: bastair@aleut.com

***Financial Assistance Award 1527  
Between the Denali Commission and the Tribal Government of St. Paul Island for  
Storm Surge Flood Mitigation  
Attachment “A”  
5 September 2017***

**Scope of Work:** The Tribal Government of St. Paul Island (Tribe) plans to construct an extension to their existing Polovina Turnpike that will act as both a breakwater structure and an emergency evacuation route. The turnpike extension will protect community infrastructure, including the St. Paul School and St. Paul Health Center, that is threatened by storm surge flooding coming from the southeast. This project will also expand existing facilities installed by the U.S. Army Corps of Engineers that protect the community from storm surge flooding arising from the west.

The following tasks will be performed to complete this project:

- Raise the level of Bartlett Road and the intersection with Polovina Turnpike by 2 feet.
- Construct 1,000-foot Polovina Turnpike extension between Bartlett Boulevard and Cliffside Street.
- Complete draft Environmental Review (ER) Checklist for Commission review.
- Complete all permitting work associated with this scope of work.

The proposed work is included in both City and Tribal Transportation Improvement Plans.

**Deliverables:**

- Draft ER Checklist and documentation of all necessary planning, zoning, and construction permits.
- Construction of Polovina Turnpike extension (after completion of ER checklist).
- Construction of grade changes at Bartlett Road intersection.

**Budget:** The budget for the proposed work is \$511,088. Of this, the Commission is making available \$200,000. The balance of \$311,088 is being provided by the Tribe and the City of St. Paul and includes a combination of labor costs and in-kind contributions of construction equipment and gravel for road construction. Together, these funds constitute a match equal to 60% of the total project budget. Additional information regarding budget and funding is included in the table on page 3.

**Delivery Method:** Polarconsult, the City Engineer for St. Paul, has completed the design drawings for this scope of work. The Tribe will utilize the City’s Engineer to complete construction staking for the project. The Tribe will utilize a consultant to complete permitting activities and the ER Checklist.

The Tribe will carry out the construction via force account utilizing local labor, locally available equipment, supplies, and materials. Gravel required for the construction of the road has been stockpiled at the quarry.



**Schedule:** Key project milestones are as delineated below.

- |  |                   |
|--|-------------------|
| • Complete ER Checklist and Permitting | 31 March 2018     |
| • Begin construction activities        | 1 June 2018       |
| • Complete construction activities     | 30 September 2018 |
| • Award Closeout                       | 31 December 2018  |

<b>BUDGET AND FUNDING <sup>1</sup></b>					
<i>Line</i>	<b>Item/Activity</b>	<b>Total Budget</b>	<b>Funding Denali Commission</b>	<b>Matching Funds <sup>2</sup></b>	<b>Notes</b>
1	<b>Local Labor</b>				
2	Field Foreman	\$ 7,308	\$ 7,308		\$45.67/hour x 160 hours
3	Loader Operator	\$ 6,445	\$ 6,445		\$40.28/hour x 160 hours
4	Dump Truck Driver	\$ 9,002	\$ 9,002		\$37.51/hour x 120 hours
5	Water Truck Driver	\$ 4,340	\$ 4,340		\$36.17/hour x 120 hours
6	Compactor Operator	\$ 4,834	\$ 4,834		\$40.28/hour x 120 hours
7	Grader Operator	\$ 4,834	\$ 4,834		\$40.28/hour x 120 hours
8	Dozer Operator	\$ 6,445	\$ 6,445		\$40.28/hour x 160 hours
9	Safety Flagger	\$ 7,332	\$ 7,332		\$30.55/hour x 120 hours
10	Fringe Benefits	\$ 20,466	\$ 20,466		Estimated at average rate of 39% of wages
11	<b>Subtotal Local Labor</b>	<b>\$ 71,006</b>	<b>\$ 71,006</b>	<b>\$ -</b>	
12	<b>Subcontracts Costs</b>				
13	Survey and Construction Staking	\$ 25,947	\$ 22,275	\$ 3,672	Includes travel, lodging, and per diem.
14	Permitting and ER Checklist	\$ 20,000	\$ -	\$ 20,000	Lump sum estimate for Permitting Consultant
15	<b>Subtotal Subcontract Costs</b>	<b>\$ 45,947</b>	<b>\$ 22,275</b>	<b>\$ 23,672</b>	
16	<b>Materials and Supplies</b>				
17	Unclassified Fill	\$ 77,744		\$ 77,744	9718 cuyd @ \$8/cuyd
18	Topsoil	\$ 12,432		\$ 12,432	1,554 cuyd @ \$8/cuyd
19	Subbase	\$ 107,880		\$ 107,880	2,697 cuyd @ \$40/cuyd
20	D-1 Top Course	\$ 57,660		\$ 57,660	961 cuyd @ \$60/cuyd
21	Fuel	\$ 7,740	\$ 7,740		2000 gallons @ \$3.87/gallon
22	Safety Gear	\$ 1,000		\$ 1,000	Lump sum estimate
23	Flagging Equipment	\$ 2,500		\$ 2,500	Lump sum estimate
24	<b>Subtotal Materials</b>	<b>\$ 266,956</b>	<b>\$ 7,740</b>	<b>\$ 259,216</b>	
25	<b>Equipment</b>				
26	Cat 966 Loader	\$ 15,878	\$ 15,878		\$3,970/week x 4 weeks
27	Volvo A30 Dump Truck	\$ 18,599	\$ 18,599		\$6,200/week x 3 weeks
28	Moxy MT30 Dump Truck	\$ 18,599	\$ 18,599		\$6,200/week x 3 weeks
29	Cat D6 Dozer	\$ 14,182	\$ 14,182		\$3,546/week x 4 weeks
30	Pickup Truck F350	\$ 4,000		\$ 4,000	\$1,000/week x 4 weeks
31	Pickup Truck Chevy 1500	\$ 6,000		\$ 6,000	\$1,500/week x 4 weeks
32	Water Truck	\$ 11,300	\$ 11,300		\$3,767/week x 3 weeks
33	Compactor Ingersol Rand	\$ 3,627	\$ 3,627		\$1,209/week x 3 weeks
34	Cat 14G Grader	\$ 16,794	\$ 16,794		\$5,598/week x 3 weeks
35	<b>Subtotal Equipment</b>	<b>\$ 108,979</b>	<b>\$ 98,979</b>	<b>\$ 10,000</b>	
36	<b>Other Direct Costs</b>				
37	Project Manager Travel	\$ 1,200	\$ -	\$ 1,200	
38	<b>Indirect Costs <sup>3</sup></b>				
39	Non-standard indirect rate	\$ 17,000	\$ -	\$ 17,000	Flat rate (see note 3 below)
40	<b>Project Totals</b>				
41	<b>Total Costs</b>	<b>\$ 511,088</b>			
42	<b>Denali Commision Award</b>		<b>\$ 200,000</b>		
43	<b>Recipient Contribution</b>			<b>\$ 311,088</b>	

**Notes**

- Budget estimates based on data provided in the Tribe's Grant Proposal dated 1 July 2017.
- Matching funds provided by Tribal Government of St. Paul Island and the City of St. Paul.
- A flat rate of \$17,000 in indirect costs will be credited toward the matching fund requirement. This amount is based on specific line items from the recipient's proposal that can be accounted for as indirect costs. This amount is less than both the Tribal Government's negotiated cost rate and the 10% de-minimus rate in 2 CFR 200.414. No indirect costs will be paid from the Denali Commission contribution.